河南豫光金铅股份有限公司
供应链尽责管理申诉机制

1、目的 Purpose

为了及时识别和处理公司供应链可能存在的助长冲突或人权侵害等风险，保障内外部利益相关方与本公司的顺畅沟通，河南豫光金铅股份有限公司（以下简称“公司”）根据公司《供应链尽职调查管理规则》制定本申诉机制。

In order to promptly identify and address potential risks in the company's supply chain that may contribute to conflicts or human rights violations, and to ensure smooth communication between internal and external stakeholders and the company, Henan Yuguang Gold and Lead Co., Ltd. (hereinafter referred to as "the Company") has established this appeal mechanism in accordance with the Company's "Supply Chain Due Diligence Management Rules".

2、适用范围 Scope of application

本程序适用于公司供应链的利益相关方以及公司涉及负责任采购相关的部门及人员。

This program is applicable to all stakeholders of the company's supply chain, as well as the departments and personnel involved in responsible procurement within the company.

3、管理机构及职责 Management institutions and responsibilities

公司设立供应链尽责管理工作组，成员由合规总监、合规经理、各合规专员组成，工作组成员会定期查阅申诉情况，并进行及时处理。

The company has established a supply chain compliance management working group, consisting of the compliance director, compliance managers, and various compliance specialists. The members of the working group will regularly review the complaint situations and handle them promptly.

4、**申诉内容范围** Scope of the appeal content

1）符合以下条件的申诉将会被受理：

a) 与公司供应链尽责管理相关。

b) 公司商业活动或者业务关系对利益相关方已经造成或可能造成了负面影响。

c) 公司商业活动或者业务关系违反了《LBMA 黄金负责任指南》、《LBMA 白银负责任指南》或公司《矿产供应链尽责管理规则》中的要求。

1) Appeals that meet the following conditions will be accepted:

a) Related to the company's supply chain responsibility management.

b) The company's business activities or business relationships have already caused or may cause negative impacts on stakeholders.

c) The company's business activities or business relationships violate the requirements of the "LBMA Gold Responsible Guidelines", "LBMA Silver Responsible Guidelines" or the company's "Mine Supply Chain Responsibility Management Rules".

2）属于以下任意一项的申诉不会被受理：

a) 与公司尽责管理无关。

b) 无法提供充分证据或证人以支持指出的问题。

c) 恶意申诉或为获得竞争优势而发起的申诉。

d) 如果申诉的问题不属于公司内部机制所能够解决的范畴，我们将积极协调外部机构解决。

2) The following types of appeals will not be accepted:

a) Those unrelated to the company's responsible management.

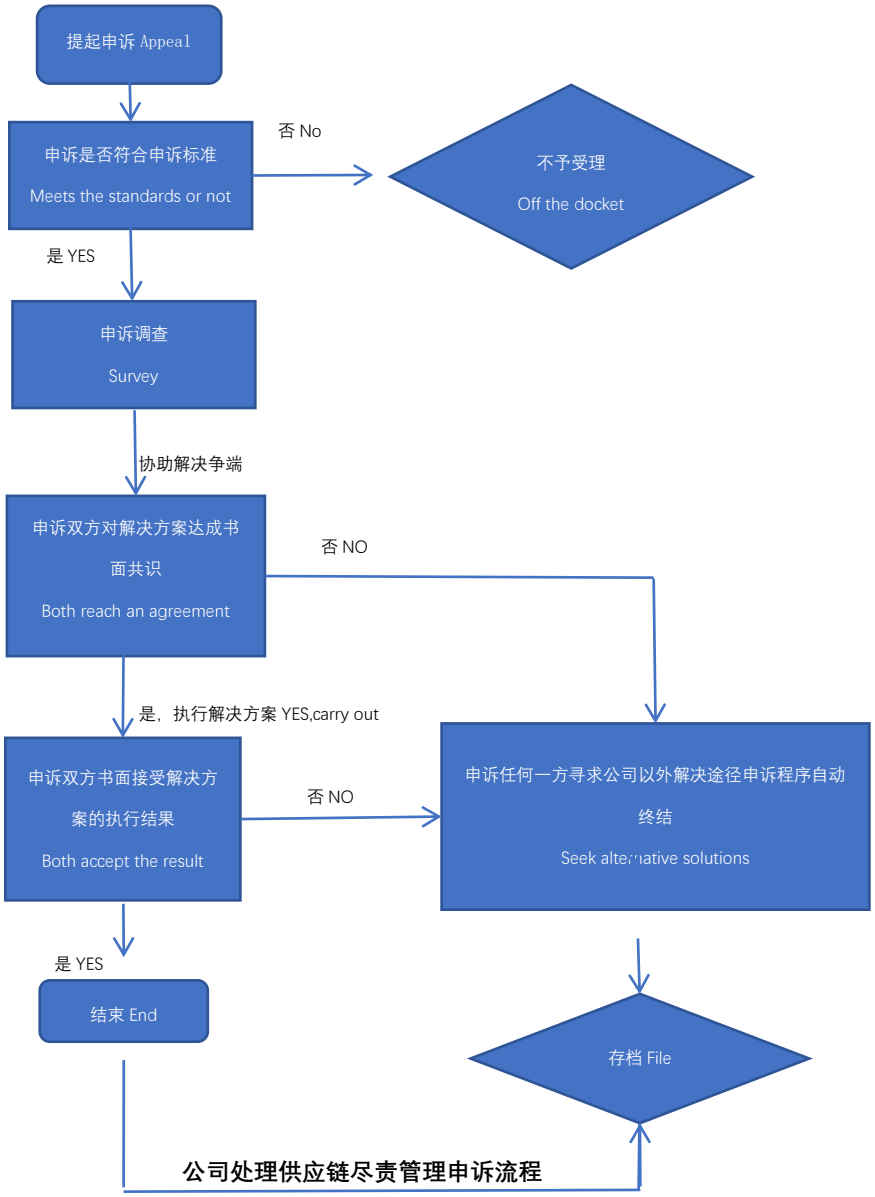
b) Those without sufficient evidence or witnesses to support the issues pointed out.

c) Malicious appeals or those initiated for competitive advantage.

d) If the issues raised in the appeal do not fall within the scope that the company's internal

mechanisms can handle, we will actively coordinate with external institutions to resolve them.

5、申诉处理的流程 The process of appeal handling



公司处理供应链尽责管理申诉流程

Supply Chain Responsibility Management Appeal Handling Procedure

申诉者应填写附件一所示《供应链尽责管理申诉书》，语种不限。申诉书应通过电子邮件或邮寄至公司供应链尽责管理工作组。

The complainant should fill out the "Supply Chain Due Diligence Management Complaint Form" as shown in Appendix . The language of the form is not restricted. The complaint form should be sent via email or by mail to the Supply Chain Due Diligence Management Working Group of the company.

邮箱：yuguang301@126.com

Email: yuguang301@126.com

地址：河南省济源市荆梁南街 1 号豫光金铅集团公司 301 室-供应链尽责管理工作组。

Address: Room 301, Yu Guang Gold Lead Group Company, No. 1, Jing Liang South Street, Jiyuan City, Henan Province - Supply Chain Responsibility Management Working Group

同时，本公司认可并参与中国五矿化工进出口商会发布的行业调解和磋商机制 ---《采矿业和矿产价值链调解磋商机制》，详见中国五矿化工进出口商会网站（中国五矿化工进出口商会（cccmc.org.cn））。

At the same time, our company acknowledges and participates in the industry mediation and consultation mechanism released by the China Nonferrous Metals and Chemicals Import and Export Chamber of Commerce - "The Mediation and Consultation Mechanism for the Mining Industry and the Mineral Value Chain". For details, please visit the website of the China Nonferrous Metals and Chemicals Import and Export Chamber of Commerce (cccmc.org.cn).

附件 1--《供应链尽责管理申诉书》

Attachment - "Supply Chain Due Diligence Management Appeal Form"

附件 1：　　供应链尽责管理申诉书

申诉者名称（可匿名）		是否要求保密	
联系电话		电子邮箱	
是否为受委托的第三方		如是，受何人或何团体委托（同时附受委托证明）	
冲突回避	（如需要回避公司供应链尽责管理委员会、尽责管理工作组的特定人员，请列出其姓名）		
所遭受（或可能遭受）负面影响的事实和证据，以及其他任何能够支持申诉的信息（可附页，并附申诉证据）：			
您的诉求，以及实现诉求所希望采用的解决方案（明确且可操作的诉求能够帮助我们更快的帮您解决问题）			
申诉者代表签名： 日　　期：			

Annex 1：

Supply Chain Due Diligence Management Complaint Letter

Name (allow anonymous)		Confidentiality or not	
Contact number		Email	
Third party entrusted or not		If yes, pls attached the letter of attorney	
Avoidance	If necessary for certain individuals to be excused from participation, please list.		
Pls list fact and evidence you suffer or may suffer,and other information (You can attach more additional pages,and pls attached your appeal evidence)			
The appeal and solution you hope (Clear and actionable ,and we can solve your appeal quickly)			
Signature： Date：			